

Measuring and Detecting Molecular Adaptation in Codon Usage Against Nonsense Errors During Protein Translation

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ABSTRACT

Codon usage bias (CUB) has been documented across a wide range of taxa and is the subject of numerous studies. While most explanations of CUB invoke some type of natural selection, most measures of CUB adaptation are heuristically defined. In contrast, we present a novel and mechanistic method for defining and contextualizing CUB adaptation to reduce the cost of nonsense errors during protein translation. Using a model of protein translation, we develop a general approach for measuring the protein production cost in the face of nonsense errors of a given allele as well as the mean and variance of these costs across its coding synonyms. We then use these results to define the nonsense error adaptation index (NAI) of the allele or a contiguous subset thereof. Conceptually, the NAI value of an allele is a relative measure of its elevation on a specific and well-defined adaptive landscape. To illustrate its utility, we calculate NAI values for the entire coding sequence and across a set of nonoverlapping windows for each gene in the *Saccharomyces cerevisiae* S288c genome. Our results provide clear evidence of adaptation to reduce the cost of nonsense errors and increasing adaptation with codon position and expression. The magnitude and nature of this adaptation are also largely consistent with simulation results in which nonsense errors are the only selective force driving CUB evolution. Because NAI is derived from mechanistic models, it is both easier to interpret and more amenable to future refinement than other commonly used measures of codon bias. Further, our approach can also be used as a starting point for developing other mechanistically derived measures of adaptation such as for translational accuracy.

CODON usage bias (CUB) is defined as the non-uniform use of synonymous codons within a gene (IKEMURA 1981; BENNETZEN and HALL 1982; SHARP and LI 1987). CUB has been extensively documented across a wide range of organisms and varies greatly both within and between genomes (GRANTHAM *et al.* 1980; IKEMURA 1981, 1982, 1985; BENNETZEN and HALL 1982; SHARP and LI 1987; GHOSH *et al.* 2000; CARBONE *et al.* 2003; MOUGEL *et al.* 2004; SUBRAMANIAN 2008). Most explanations of CUB involve a mixture of factors including mutational bias, intron splicing, recombination, gene conversion, DNA packaging, and selection for increased translational efficiency or accuracy (BERNARDI and BERNARDI 1986; BULMER 1988, 1991; SHIELDS *et al.* 1988; KLIMAN and HEY 1993, 1994; AKASHI 1994, 2003; XIA 1996, 1998; AKASHI and EYRE-WALKER 1998; MUSTO *et al.* 1999, 2003; McVEAN and CHARLESWORTH 1999; GHOSH *et al.* 2000; WAGNER 2000; BIRDSELL 2002; COMERON and KREITMAN 2002; ELF *et al.* 2003; CHEN *et al.* 2004; CHAMARY and HURST 2005a,b; COMERON 2006; LIN *et al.* 2006; WARNECKE and HURST 2007;

DRUMMOND and WILKE 2008; WARNECKE *et al.* 2008). As a result, CUB has played an important role in the neutralist–selectionist debate (*e.g.*, WOLFE and SHARP 1993; DURET and MOUCHIROUD 1999; MUSTO *et al.* 2001; URRUTIA and HURST 2003; PLOTKIN *et al.* 2004; SÉMON *et al.* 2005; CHAMARY *et al.* 2006; LYNCH 2007), interpretations of molecular clocks (*e.g.*, LONG and GILLESPIE 1991; TAMURA *et al.* 2004; XIA 2009), and phylogenetics (*e.g.*, GOLDMAN and YANG 1994; MOOERS and HOLMES 2000; NIELSEN *et al.* 2007a,b; ANISIMOVA and KOSIOL 2009).

Currently, multiple indexes are available for measuring the average CUB of a gene [*e.g.*, F_{op} , codon bias index (CBI), relative synonymous codon usage (RCSU), codon adaptation index (CAI), N_c , $E(g)$, CodonO, and relative codon bias (RCB) (IKEMURA 1981; BENNETZEN and HALL 1982; SHARP *et al.* 1986; SHARP and LI 1987; WRIGHT 1990; KARLIN and MRAZEK 2000; WAN *et al.* 2006; ROYMONDAL *et al.* 2009)]. However, directly relating any of these measures to a specific biological process is difficult. As a result, while certain measures of CUB are more popular in some circles than in others, there is no clear “correct” measure of codon bias. These shortcomings are due, in part, to the fact that these indexes are either heuristic or statistical in origin.

An alternative approach that avoids these shortcomings is to develop mechanistically based indexes that are

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based on specific biological processes that can drive the evolution of CUB. As an example of such an approach, we present a new CUB index specifically formulated to measure the degree of adaptation an allele exhibits to reduce the cost of nonsense errors during protein translation. We refer to this index as the nonsense error adaptation index (NAI) value of an allele. While NAI is specifically formulated to measure adaptation to reduce the cost of nonsense errors, the approach we present could be altered to measure adaptation in CUB for other aspects like translational efficiency, translational accuracy, or, ideally, a composite measure that evaluates and partitions the importance of these and other selective forces.

Nonsense errors, also referred to as processivity errors, occur when a ribosome terminates the translation of an mRNA transcript prematurely. Nonsense errors have a number of different causes such as ribosome drop-off, improper translation of release factors, frameshifts, and even missense errors (KURLAND 1992; HOOPER and BERG 2000; ZAHER and GREEN 2009). Direct estimates of the per codon nonsense error rates are rare, but those that do exist for *Escherichia coli* suggest that they are on the order of 10^{-4} per codon (MANLEY 1978; TSUNG *et al.* 1989; JORGENSEN and KURLAND 1990). For *Saccharomyces cerevisiae*, no direct estimates of nonsense error rates exist. However, ARAVA *et al.* (2003, 2005) provide indirect measures of nonsense error rates for *S. cerevisiae* that are on the order of 10^{-3} – 10^{-4} per codon. Although there is still great uncertainty, together these data imply that the probability of a nonsense error occurring during the translation of an average length protein of ~ 400 amino acids is $>20\%$.

Because most incomplete peptides are expected to be nonfunctional, such peptides impose various costs to the cell. For example, the production of incomplete peptides can tie up essential cell resources such as tRNAs and ribosomes (DINCIBAS *et al.* 1999; CRUZ-VERA *et al.* 2004). Further, the recognition and breakdown of incomplete peptides may require additional resources as well and the peptides themselves may be toxic to the cell (MENNINGER 1978). Another important cost of a nonsense error is the amount of energy invested during protein production into the assembly of the polypeptide chain (BULMER 1991; KURLAND 1992; EYRE-WALKER 1996). Because the cell must expend energy during each elongation step of translation, the cost of a nonsense error will increase with codon position at which it occurs. As a result, selection against nonsense errors leads to the unique prediction that CUB should increase intragenically with codon position. Numerous researchers have shown either directly or indirectly that CUB does indeed increase with codon position in *E. coli* and other microorganisms (HOOPER and BERG 2000; QIN *et al.* 2004; GILCHRIST and WAGNER 2006; STOLETZKI and EYRE-WALKER 2007).

In this study we specifically define adaptation as the degree to which an allele reduces the cost of nonsense errors during protein translation. Variation in adaptation between alleles is the result of different synonymous codons having different nonsense error rates. We evaluate an allele's nonsense error cost relative to the coding synonyms of its synonymous genotype space, *i.e.*, the set of alleles that differ in the synonymous codons they use but not the amino acid sequence they encode. It is worth noting that other measures of CUB also restrict their focus to that of coding synonyms. Because we restrict our focus to only synonymous changes in a coding sequence, we avoid the more complex question of how nonsynonymous substitutions affect protein function. Instead, we are able to focus solely on the effect synonymous substitutions have on the expected cost of nonsense errors during protein translation.

To define our NAI we use a simple model of protein elongation to generate a genotype-to-phenotype mapping function. In our mapping function, a genotype is the specific codon usage of an allele and a phenotype is the expected amount of high energy phosphate bonds $\sim P$ that must be broken to generate the benefit equivalent of one functional protein (*i.e.*, a protein production cost–benefit ratio or production cost, for brevity). We then contextualize the production cost of a given allele as a Z-score, using the analytically derived measures of the mean and variance of these costs for its entire set of coding synonyms. The resulting NAI score of an allele is a relative measure of its elevation on a nonsense error cost adaptive landscape for the protein it encodes. More precisely, the NAI score of an allele measures the relative deviation of an allele's nonsense error cost from its expected value scaled by the standard deviation of these costs. Because NAI is well defined from both a statistical and a biological standpoint, it has a number of important advantages over other measures of CUB.

For example, because it is based on a Z-score, an allele's NAI score is easy to interpret statistically since it can be directly related to the cumulative distribution function (CDF) of a standard normal distribution. As a result, an allele with an NAI score of 0 indicates that it is more adapted to reduce the cost of nonsense errors than half of all of the other alleles in its synonymous genotype space. The fact that the CDF of a standard normal distribution is 95% at 1.645 means that an allele with an NAI score of 1.645 is more adapted than 95% of its coding synonyms and, therefore, could be classified as showing statistically significant signs of adaptation. None of the other commonly used CUB indexes have such a clear statistical interpretation. Further, because the null expectation of NAI is a standard normal distribution, NAI measurements meet the assumptions of most standard statistical approaches such as general linear regression. Taking advantage of this property through the use of a hierarchical regression model, we are able to detect significant signals of adaptation to

reduce the cost of nonsense errors across most of the *S. cerevisiae* genome. Specifically, we find that NAI increases with both codon position and an allele’s protein production rate. Using permutation techniques and a simulation model of codon evolution, we show that these observations are consistent with the hypothesis that selection to reduce the cost of nonsense errors plays an important role in driving the evolution of CUB.

MATERIALS AND METHODS

The calculation of an allele’s NAI score can be broken down into four steps. The first step is the calculation of the codon-specific elongation and nonsense error probabilities. The second step is to use these probabilities to calculate the nonsense error cost–benefit ratio, η , for the codon sequence of any given allele of a gene. The third step is to calculate the mean and variance in η -values across the synonymous genotype space of that gene. The fourth and final step is to combine a given allele’s η -value and the moments of η across its synonymous genotype space to calculate the NAI score for that allele. Because these calculations are based on explicit biological processes, any one of them can be expanded upon or refined in future studies.

Step I. Calculating the per codon elongation and nonsense error probabilities: Here we use a simple model we developed previously in GILCHRIST and WAGNER (2006) to calculate codon-specific elongation probabilities. In this model, each elongation step is viewed as an exponential waiting process with two possible outcomes: successful elongation or the occurrence of a nonsense error. Conceptually, we assume that abundances vary between tRNA species and, following the law of mass action, this variation in tRNA abundances leads to variation in elongation rates between codons. We also assume that other factors such as codon wobble can affect the elongation rate of a codon as well. We represent the elongation rate of a particular codon as $c(NNN)$, where $N \in \{A, T, G, C\}$. While elongation rates can vary between codons, conversely we assume that all codons experience the same universal nonsense error rate b . Given these assumptions, the probability a ribosome will successfully complete an elongation step at some codon NNN is

$$p(NNN) = \frac{c(NNN)}{c(NNN) + b}. \tag{1}$$

(See Table 1 for symbols used in this study.) Consequently, the probability a ribosome will experience a nonsense error at the same codon is $1 - p(NNN)$. For simplicity, we also assume that stop codons always lead to termination of translation; *i.e.*, $p(TAA) = p(TAG) = p(TGA) = 0$. We emphasize that there is ample room for the development of more complex and biologically accurate relationships between a codon NNN and its elongation probability $p(NNN)$ as defined in Equation 1. However, such elaborations are beyond the scope of this study. As long as the calculation of $p(NNN)$ at each codon is independent of the other codons, any refinement of the model underlying the calculation of $p(NNN)$ will not alter how these values are used in the calculations that follow.

Step II. Calculating the cost of nonsense errors η : In general, we expect natural selection to favor alleles that produce protein functionality more efficiently than others. Therefore, we define adaptation as the reduction in the expected cost of producing the equivalent of one functional protein, η . More specifically, η describes a cell’s expected cost in high

TABLE 1

List of symbols used in this study

Symbol	Definition
$c(NNN)$	Elongation rate of codon NNN
b	Background nonsense error rate
$p(NNN)$	Elongation probability of codon NNN
\overline{NNN}	Codon sequence of an allele
\vec{p}	Vector of elongation probabilities for codon sequence \overline{NNN}
$\sigma_i(\vec{p})$	Probability a ribosome will translate up to and including the i th codon of the sequence \overline{NNN}
β_i	Amount of energy expended by the ribosome in translating up to and including the i th codon
a_1, a_2	Energetic cost of translation initiation and elongation, respectively
\mathbb{J}	Set of synonymous codons of a given amino acid
$\mathbb{E}(p)$	Expected elongation probability of a given amino acid
ϕ	Target production rate of a given protein
$\bar{\eta}$	Untransformed expected cost–benefit ratio for the coding synonyms of an allele
$\text{Var}(\eta)$	Untransformed variance in cost–benefit ratio for the coding synonyms of an allele
α, β	Shape and inverse scale parameters of the Gamma distribution, respectively
η'_{obs}	Transformed cost–benefit ratio of the observed allele
$\bar{\eta}'$	Transformed expected cost–benefit ratio for the coding synonyms of an allele
$\text{Var}(\eta')$	Transformed variance in cost–benefit ratio for the coding synonyms of an allele
A_i	Intercept of regression of NAI with position
B_i	Slope of regression of NAI with position
\mathcal{A}_i	Coefficient of hierarchical regression describing how the intercept A_i changes with with $\ln(\phi)$
\mathcal{B}_i	Coefficient of hierarchical regression describing how the slope B_i changes with with $\ln(\phi)$
\mathbb{S}	The set of alleles that make up the synonymous genotype space of a gene

energy phosphate bonds $\sim P$ for translating an allele divided by the expected benefit the cell gains from the translation product. The use of a cost–benefit ratio as opposed to the difference between the cost and the benefit of an allele is well justified since the units of cost are different from the units of benefit. More importantly, if we assume that an organism requires a certain amount of protein to be produced at some target rate, the cost–benefit ratio can be used to calculate the expected cost, in $\sim P$ ’s, for meeting that target. Both GILCHRIST (2007) and the simulations we use here provide a clear illustration of this concept.

We explicitly measure peptide utility in relative terms such that one unit of relative utility is equal to the functionality provided by a complete and error-free peptide encoded by a given gene. Measuring the utility of a peptide in this way allows us to focus on how translational errors affect the expected performance of a protein relative to an error-free version as opposed to having to understand the specific function of the encoded protein. Thus, even though the importance of a protein to the organism varies between different genes, because we consider only relative, not absolute, utility, the NAI measure we produce is independent of that importance.

When considering an entire coding sequence, we use subscripts to indicate the position of a codon relative to its start codon. By definition, the start codon is at position 0. Given the fact that the first amino acid of a sequence is part of the ribosome initiation complex, a ribosome cannot experience a nonsense error at position 0. Thus we represent a codon sequence $\overline{NNN} = \{NNN_1, NNN_2, \dots, NNN_n\}$, where n is the number of elongation steps required to make a peptide. Because the start codon is at position 0, n is one less than the number of amino acids in a complete peptide. We use the notation $p(\overline{NNN}) = \{p(NNN_1), p(NNN_2), \dots, p(NNN_n)\}$ to represent the allele-specific vector of elongation probabilities for a given codon sequence. To make the notation more compact we drop the codon itself from our notation and, instead, simply index elongation probabilities by their position within a sequence, *i.e.*, $p_i = p(NNN_i)$, and, in a similar vein, leave the codon sequence itself implicit; *i.e.*, $\vec{p} = p(\overline{NNN})$.

Using this notation, we now calculate the expected energetic cost per translational initiation event for a given codon sequence based on its corresponding elongation probability vector, \vec{p} . We begin by noting that to reach the i th codon, a ribosome must first successfully translate the preceding $i - 1$ codons. Using σ_i to represent the probability that a ribosome will successfully translate the first i codons of an allele, it follows that

$$\sigma_i(\vec{p}) = \prod_{j=1}^i p_j. \quad (2)$$

Successful translation occurs when a ribosome translates all n codons of an allele. The probability of successful translation can therefore be denoted $\sigma_n(\vec{p})$. The probability that a nonsense error will occur somewhere between codon 0 and n is simply $1 - \sigma_n(\vec{p})$.

If a nonsense error occurs at the i th codon, then translation by the ribosome terminates and the amount of energy that has been expended up to this point equals β_i . The simplest scenario and the one we employ here is to define $\beta_i = a_1 + a_2(i - 1)$, where a_1 represents the cost of charging the fMet-tRNA ($2 \sim P$) and the assembly of the ribosome on the mRNA ($2 \sim P$) and a_2 represents the cost of tRNA charging ($2 \sim P$) and translocation of the ribosome during each elongation step ($2 \sim P$) (BULMER 1991; WAGNER 2005). As with calculating $p(\overline{NNN})$, more complex cost functions that include additional costs, such as the overhead cost of ribosome usage, could also be used to define β_i (see DISCUSSION).

To calculate the expected protein production cost per initiation event, $\mathbb{E}(\text{Cost} | \vec{p})$, one simply sums up the cost of each possible outcome weighted by its probability of occurring. Doing so gives

$$\mathbb{E}(\text{Cost} | \vec{p}) = \sum_{i=1}^{n+1} \beta_i \sigma_{i-1}(\vec{p})(1 - p_i). \quad (3)$$

Note that the summation is taken up to $n + 1$ to account for the stop codon where, by definition, $(1 - p_{n+1}) = 1$.

To calculate the expected protein utility per initiation event, we first define the function u_i as the utility of a peptide for which translation has terminated at codon i . We then calculate the expected utility of a gene, $\mathbb{E}(\text{Benefit} | \vec{p})$, by simply summing up the utility of each peptide given its length weighted by the probability of producing it. Doing so gives

$$\mathbb{E}(\text{Benefit} | \vec{p}) = \sum_{i=1}^{n+1} u_{i-1} \sigma_{i-1}(\vec{p})(1 - p_i). \quad (4)$$

Here and in our previous work we assume that u_i follows a step function where $u_i = 0$ for all $i < j$; *i.e.*, all nonsense errors prior

to codon j lead to a nonfunctional protein. In the case of such a step function it follows that $\mathbb{E}(\text{Benefit} | \vec{p}) = \sigma_j(\vec{p})$ and in the current study we assume that $j = n$. As with other aspects of this work, our assumptions about u_i can easily be relaxed in future studies. For example, u_i could be assumed to be a logistic function of i . Alternatively, one could expand the formulation of $\mathbb{E}(\text{Benefit} | \vec{p})$ further to include the possibility of missense errors and their effects.

Combining our results from Equations 3 and 4, the expected cost over expected benefit of a coding sequence is

$$\begin{aligned} \eta(\vec{p}) &= \frac{\mathbb{E}(\text{Cost} | \vec{p})}{\mathbb{E}(\text{Benefit} | \vec{p})} = \frac{\sum_{i=1}^{n+1} \beta_i \sigma_{i-1}(\vec{p})(1 - p_i)}{\sigma_n(\vec{p})} \\ &= \frac{\sum_{i=1}^n \beta_i \sigma_{i-1}(\vec{p})(1 - p_i)}{\sigma_n(\vec{p})} + \beta_{n+1}, \end{aligned} \quad (5)$$

where the first term on the right-hand side of Equation 5 represents the cost–benefit of the incomplete proteins and the β_{n+1} term represents the cost–benefit of translating one complete protein (GILCHRIST 2007). In summary, the term $\eta(\vec{p})$ represents the expected amount of $\sim P$ that must be spent to get the benefit of one unit of utility from an allele with a given codon sequence.

Step III. Calculating the central moments of η : We now shift our focus from calculating the protein production cost η for a specific allele to calculating the central moments of η across the entire set of coding synonyms. For simplicity we focus on calculating these moments for the entire length of an allele. In supporting information, File S1, A, we present the details on carrying out similar calculations for the set of coding synonyms that differ from the observed allele only over a restricted window of codons, *e.g.*, from codons 1–20, 21–40, 41–60, and so on. These calculations of NAI over a window explicitly take into account the codon usage outside of the window, thus providing a context-specific measure of adaptation to reduce the cost of nonsense errors within the window. These moments can also be estimated through simulation and we have exploited this fact to verify that our analytic estimates of η 's central moments are correct.

Calculating the expected cost–benefit ratio $\bar{\eta}$: We begin by computing the expected cost–benefit ratio $\bar{\eta}$ for the coding synonyms of an allele. Beginning with the definition of η in Equation 5 and assuming that the choice of codon at each position is independent of the other, we can calculate the expected value of η for the entire set of coding synonyms \mathbb{S} as

$$\bar{\eta} = \sum_{i \in \mathbb{S}} \eta(\vec{p}_i) \text{Pr}(\vec{p}) = \sum_{i=1}^n \beta_i \mathbb{E} \left[\frac{1 - p_i}{p_i} \right] \prod_{j=i+1}^n \mathbb{E} \left[\frac{1}{p_j} \right] + \beta_{n+1}. \quad (6)$$

The expectations over functions of p_i , such as $\mathbb{E}[1/p_i]$, are taken over the set of synonymous codons \mathbb{J} for a given amino acid. Using the amino acid tyrosine (Y) as an example, $\mathbb{J}_Y = \{TAT, TAC\}$. Similarly, for proline (P), $\mathbb{J}_P = \{CCT, CCC, CCA, CCG\}$. The set of synonymous codons for the amino acid serine is unique because they occur in two distinct subsets that cannot be connected via a single-nucleotide substitution. Thus, we treat each subset as a distinct amino acid; *i.e.*, $\mathbb{J}_{S_1} = \{AGT, AGC\}$ and $\mathbb{J}_{S_2} = \{TCT, TCC, TCA, TCG\}$.

Generally speaking, the expected inverse elongation probability for an amino acid is $\mathbb{E}(1/p) = \sum_{j \in \mathbb{J}} \text{Pr}(NNN_j) 1/p(NNN_j)$, where $\text{Pr}(NNN_j)$ is the probability of codon NNN_j occurring in a given genome. In the absence of any mutational bias all codons have equal probability of occurring, $\text{Pr}(NNN_j) = 1/|\mathbb{J}|$ for all j in \mathbb{J} . If mutational bias does occur, then the $\text{Pr}(NNN_j)$ for each codon is simply the equilibrium frequency of each

codon given the biased mutation rate. For example, to include the effect of a genomewide AT bias in our calculation of $\mathbb{E}(p)$, we simply set

$$\Pr(NNN_i) = \frac{(x/(1-x))^{y(NNN_i)}}{\sum_{j \in \mathbb{J}} (x/(1-x))^{y(NNN_j)}},$$

where x is the degree of observed AT bias and $y(NNN_i)$ is the number of A or T nucleotides in codon NNN_i .

Calculating the variance in the cost–benefit ratio $\text{Var}(\eta)$: Given our assumption of independence between p_i values at different positions, the variance in η -values across the synonymous genotype space \mathbb{S} is

$$\text{Var}(\eta) = \left(\sum_{i=1}^n \beta_i^2 \text{Var}(Y_i) + 2 \sum_{i=1}^n \beta_i \sum_{j=i+1}^n \beta_j \text{Cov}(Y_i, Y_j) \right), \quad (7)$$

where

$$Y_i = \left(\frac{1-p_i}{p_i} \right) \prod_{k=i+1}^n \left(\frac{1}{p_k} \right),$$

$$\text{Var}(Y_i) = \mathbb{E} \left[\left(\frac{1-p_i}{p_i} \right)^2 \prod_{j=i+1}^n \mathbb{E} \left[\left(\frac{1}{p_j} \right)^2 \right] \right] - \left(\mathbb{E} \left[\frac{1-p_i}{p_i} \right] \prod_{j=i+1}^n \mathbb{E} \left[\frac{1}{p_j} \right] \right)^2, \quad (8)$$

$$\text{Cov}(Y_i, Y_j) = \mathbb{E}[(Y_i - \mathbb{E}[Y_i])(Y_j - \mathbb{E}[Y_j])] = (\mathbb{E}[Y_i Y_j] - \mathbb{E}[Y_i] \mathbb{E}[Y_j]), \quad (9)$$

and

$$\mathbb{E}[Y_i Y_j] = \mathbb{E} \left[\frac{1-p_i}{p_i} \left(\prod_{k=i+1}^{j-1} \mathbb{E} \left[\frac{1}{p_k} \right] \right) \left(\mathbb{E} \left[\frac{1-p_j}{p_j} \right] \right) \right] \times \left(\prod_{k=j+1}^n \mathbb{E} \left[\frac{1}{p_k} \right] \right)^2. \quad (10)$$

Substituting the results from Equations 8–10 into Equation 7 allows for the direct calculation of the variance in the η -values around $\bar{\eta}$ for all \mathbb{S} genotypes.

Step IV. Calculating the NAI score of a gene: We define η_{\min} as the cost–benefit ratio of producing a protein that uses only the most optimal codons and hence represents the minimum cost of producing that protein. Random samples of synonyms for different genes indicate that, after subtracting off η_{\min} from each value, the distribution of η -values for the synonyms of an allele is approximately Gamma distributed (see File S1, B for details). Under the assumption of a Gamma distribution, we can solve for the shape and inverse scale parameters, α and β , respectively, on the basis of the $\bar{\eta}$ and $\text{Var}(\eta)$ values calculated in step III. Doing so gives $\alpha = (\bar{\eta} - \eta_{\min})^2 / \text{Var}(\eta)$ and $\beta = (\bar{\eta} - \eta_{\min}) / \text{Var}(\eta)$ (RICE 1995).

As mentioned earlier, the NAI of a gene is essentially a Z -score, which, in turn, is based on a standard normal distribution. For a given mean and variance, the Gamma distribution has greater skewness than the normal distribution. Because of this skewness, if we calculate a gene’s NAI score using the raw, untransformed moments, $\bar{\eta}$ and $\text{Var}(\eta)$, we will underestimate the true proportion of genotype space with alleles that are less adaptive in their η -values. To reduce this effect, we apply a Box–Cox transformation with $h = \frac{1}{3}$, which is the best skewness-reducing transformation for the Gamma distribution among

TABLE 2

List of default parameter values used for all NAI calculations and the CES simulation

Parameter	Value
b	0.0012/sec
a_1	$4 \sim P$
a_2	$4 \sim P$
q	4.19×10^{-7}
N_e	1.36×10^7
μ	10^{-9} /generation
AT bias	0.62

the Box–Cox family (PACE and SALVAN 1997) (see File S1, C for details). Using $'$ to denote the Box–Cox-transformed values, we define the NAI score of a gene as

$$\text{NAI} = - \frac{\eta'_{\text{obs}} - \bar{\eta}'}{\sqrt{\text{Var}(\eta')}}. \quad (11)$$

The negative sign is included in the definition of the NAI score because while natural selection favors a reduction in η -values, adaptation is generally defined as being increased by natural selection. Thus the inclusion of the negative sign means that NAI is an increasing function of adaptation to reduce the cost of nonsense errors.

NAI calculations and simulations: We have developed a computer program called NAI that can be used to calculate the NAI score of an allele as well as the NAI score for a series of moving windows within an allele. This program allows NAI to be calculated in two different ways, either using Equations 6–10 to calculate the exact moments analytically or through random sampling of synonymous genotype space weighted by a given AT bias. The sample population is then used to estimate the moments of η for the entire set of coding synonyms. The results we present here were generated by calculating the moments analytically, but we have used the random sampling approach to verify our results.

We have also developed an additional stochastic, simulation program called codon evolution simulation (CES). CES simulates the evolution of a locus where the resident allele is allowed to evolve across its synonymous genotype space following the allele substitution model described in SELLA and HIRSH (2005). The same substitution probabilities were also independently derived by IWASA (1988) and BERG and LÄSSIG (2003). For further details refer to File S1, D. Both NAI and CES are written in ANSI standard C and released under the GNU Public License 2.0. Both precompiled *nix binaries and source code are available at www.tiem.utk.edu/~mikeg/Software.

Application to the *S. cerevisiae* genome: To illustrate the utility and behavior of NAI, we applied it to the *S. cerevisiae* strain S288c genome based on the Saccharomyces Genome Database’s June 6, 2008 release (DOLINSKI *et al.* 2008). Default parameter values for the simulation of the *S. cerevisiae* genome using both CES and NAI calculations are given in Table 2. We restricted our analysis of gene level NAI scores to the 4674 verified nuclear genes that lack internal stops and our analysis of how NAI changes with window position to the 4377 genes within that set with at least 100 codons. The per codon elongation rates were generated as in GILCHRIST and WAGNER (2006). These rates are proportional to the tRNA abundance and take into account a set of wobble penalties based on CURRAN and YARUS (1989). Exact values used are given in the Table S1. Since no reliable empirical measurements of the nonsense error rate in *S. cerevisiae* exist, on the basis of

experiments with *E. coli* by JORGENSEN and KURLAND (1990) we used a nonsense error rate of $b = 0.0012/\text{sec}$. Given an average per codon elongation rate of $10/\text{sec}$, this value is consistent with indirect empirical estimates of b in *S. cerevisiae* by ARAVA *et al.* (2005). Because of the uncertainty in the model parameters and the fact that such parameters are largely unknown for most organisms, we evaluated the sensitivity of NAI to changes in the key parameters: the background nonsense error rate b , the cost of translation initiation a_1 , the cost of each elongation step a_2 , and the elongation probability for each codon p_i after HAMBY (1994).

Because selection pressure against nonsense errors increases with codon position along a sequence, we also evaluated how NAI changes with codon position in both the S288c and the simulated genomes. Since we are more interested in general trends across the entire *S. cerevisiae* genome than in the behavior of specific genes, we used a hierarchical regression model approach when analyzing the data. In this approach, we began by calculating the NAI values for successive, nonoverlapping windows of 20 codons. We then fitted a linear regression model to these intragenic NAI values of an allele. The regression model allows us to estimate the initial degree of adaptation to reduce the cost of nonsense errors through the regression intercept A_i . The model also allows us to estimate how such adaptation changes with position through the regression slope B_i of a given allele i . That is,

$$\text{NAI}_i(x) = A_i + B_i x + \varepsilon, \quad (12)$$

where ε here and below represents a noise term, x is the codon position at the center of the window, *i.e.*, $x = \{10, 30, 50, \dots, x_{\text{max}} - 10\}$, and x_{max} is the largest multiple of 20 less than or equal to the length n of the coding sequence.

To quantify the general behavior of the output generated by the regression model of Equation 12 across the *S. cerevisiae* genome, we then fitted second-order regression models to the intercept A_i and slope B_i values for each gene as a function of its log protein production rate, $\ln(\phi_i)$. In other words, we fitted the following models

$$A_i = \mathcal{A}_0 + \mathcal{A}_1 \ln(\phi_i) + \mathcal{A}_2 \ln(\phi_i)^2 + \varepsilon \quad (13)$$

$$B_i = \mathcal{B}_0 + \mathcal{B}_1 \ln(\phi_i) + \mathcal{B}_2 \ln(\phi_i)^2 + \varepsilon \quad (14)$$

to the set of maximum-likelihood estimates (MLEs) for the model parameters A_i and B_i in Equation 12 weighted by their standard error. Thus, the hierarchical analysis measures how NAI changes with the two dependent variables: codon position, x and log protein production rate, $\ln(\phi)$. Although higher-order functions could be fitted to the MLE data, our goal is to capture the general behavior of the system as simply as possible. The gene-specific protein production rates ϕ_i were based on a combination of mRNA measurements from AffyMetrix data sets as presented in BEYER *et al.* (2004) and translation rates per mRNA based on ribosome occupancy data given in ARAVA *et al.* (2003) and MACKAY *et al.* (2004). See GILCHRIST (2007) for further details.

To better contextualize our results and control for the fact that other selective forces could be driving some or all of the adaptation we observe, we repeated the above analysis on multiple artificial data sets that were generated either by randomizing the codon order of each gene in the *S. cerevisiae* genome or by randomly assembled genes. In terms of randomly reordered data sets, two different types of data sets were generated: partial and complete reorderings. Partial reordering involved randomly reordering codons on a per amino acid basis such that the codon order of a gene was randomized but the amino acid order of the sequence encoded was not altered. In contrast, complete reordering

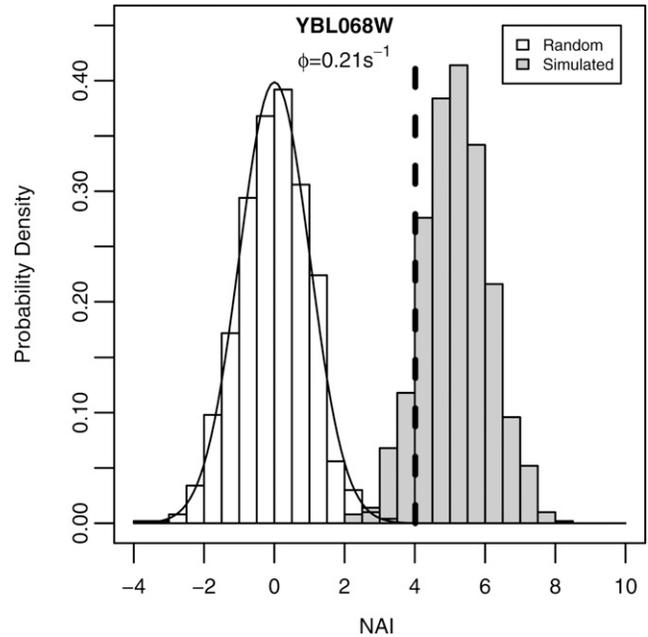


FIGURE 1.—Distribution of NAI values for 1000 randomly assembled alleles (open bars) and 1000 CES simulated alleles (shaded bars) for the gene YBL068W. CES simulations were done using the empirically estimated protein production rate of $\phi = 0.21 \text{ s}^{-1}$. The dashed line at 4.015 indicates the NAI value for the YBL068W allele in *S. cerevisiae* S288c, which corresponds to the 99.997th percentile of a standard normal distribution, which is represented by the solid curve. Note that the mean of the NAI scores of the random population does not significantly differ from zero ($t = 0.1917$, $P\text{-value} > 0.8$) while the mean of the NAI scores of the simulated population does ($t = 172.2$, $P\text{-value} < 10^{-15}$).

involved the random reordering of codons independent of the amino acids encoded. While both partial and complete reordering change the codon order of an allele, neither approach alters the set of codons used. Since nonsense errors are the only selective force that is expected to result in increasing CUB with position, these reordered data sets serve as a control. We also generate a random population of alleles for each gene by randomly sampling the population of coding synonyms independent of their specific cost–benefit values η but weighted by an AT bias of 0.62. These random samples serve as controls for when there is no selection on CUB evolution.

To understand how the NAI behaves when CUB evolves solely under selection to reduce the cost of nonsense errors, we simulated the evolution of each gene using CES. The simulation was run assuming that the protein production rate of a gene was equal to its empirically estimated value ϕ_i multiplied by a log-normal random variable centered around 1 and with a standard deviation equal to the standard error of the log-transformed mRNA abundance values given in BEYER *et al.* (2004). The use of this additional noise factor mimics the uncertainty in the estimates of ϕ_i inherent in the analysis of the S288c and reordered genomes. The simulation was run for $20/\mu$ generations (*i.e.*, sufficiently long such that we expect 20 substitutions per nucleotide under a pure mutation–drift process) so that the simulation results should represent samples from the stationary distribution of allele fixation. We applied the same hierarchical regression analysis to our CES simulated genome as we used with the *S. cerevisiae* S288c genome.

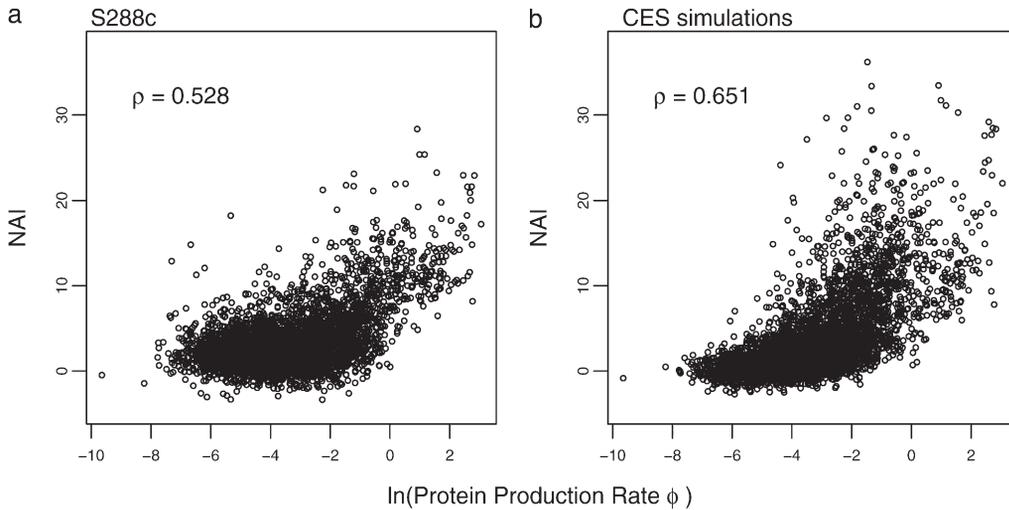


FIGURE 2.—Correlation of NAI values with log protein production rates $\ln(\phi)$ for the S288c and CES simulated genomes. ρ represents the correlation coefficient between the two variables.

RESULTS

General behavior of NAI: We begin by analyzing the behavior of the NAI for entire coding sequences. First and as we expected, we find that the NAI scores for random samples of coding synonyms are normally distributed around a mean of 0 and a standard deviation of 1 (*cf.* Figure 1) (Shapiro-Wilk's test, P -value = 0.916). We also see that the distributions of S288c and simulated NAI scores across the entire genome behave in a similar and predictable manner, where genes with greater protein production rates have higher NAI scores (Figure 2). The S288c NAI scores for genes were also well correlated with the NAI scores in our CES-generated genome ($\rho \sim 0.74$), which provides further evidence that selection against nonsense errors can explain much of the CUB observed in *S. cerevisiae*.

Indeed, if there was no adaptation to reduce the cost of nonsense errors, the distribution of NAI scores across the *S. cerevisiae* genome would follow a standard normal distribution. Looking at the *S. cerevisiae* genome, we find that the distribution of these NAI values does not mimic the standard normal distribution, but instead is highly skewed toward higher values (Figure 3). This distribution of NAI scores as summarized in Table 3 indicates that most genes show some degree of adaptation to reduce the cost of nonsense errors.

For example, we find that 92.1% of the *S. cerevisiae* genes have NAI values >0 . Under a pure mutation–drift process only 50% of the genes would be expected to have NAI scores >0 . In fact, $\sim 68\%$ of *S. cerevisiae*'s genes have NAI scores >1.645 . Again, under a pure mutation–drift process we would expect to only see 5% of the genes with NAI scores in this range. These results clearly demonstrate that most genes are significantly more adapted to reducing their cost–benefit ratio η than their coding synonyms. In fact, over half of all *S. cerevisiae* genes have an NAI score >2.326 , indicating that they are more adapted than 99% of their coding synonyms. More striking, a full 33% are more adapted than 99.99%

of their coding synonyms (NAI > 3.719). We observed similar levels of adaptation in our simulated datasets and less adaptation in our reordered data sets (Table 3). For example, in a typical simulated data set we found that 86% had NAI values >0 and 55.5% had NAI values >1.645 .

The sensitivity of NAI to changes in parameter values is presented in File S1, E, Figure S3 and Table S3. $\bar{\eta}$, $\text{Var}(\eta)$, and NAI values for each gene are presented in Table S4, Table S5, Table S6, and Table S7, E. To summarize, NAI scores are relatively insensitive to changes in almost all of the parameters underlying its formulation. This insensitivity is especially strong for

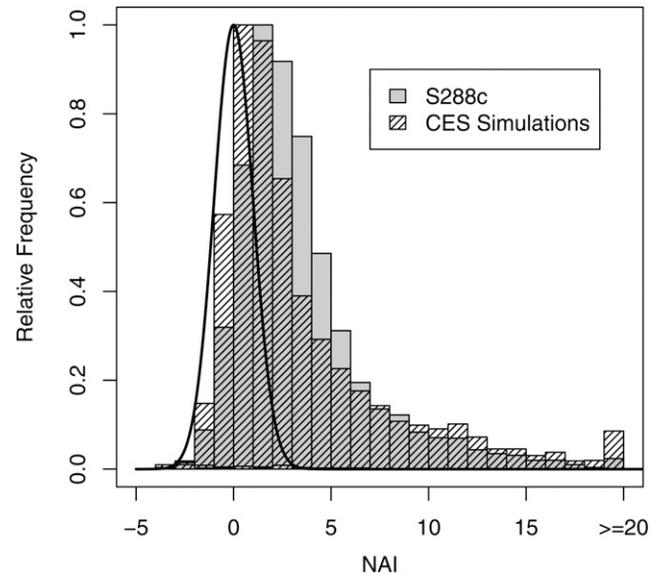


FIGURE 3.—Distribution of NAI values of S288c and CES simulated genomes. Shaded bars represent the distribution of NAI scores across all genes in the S288c genome and hatched bars represent NAI scores of CES simulated genes. The solid line represents the standard normal distribution, which is the expected distribution of NAI scores in the absence of any selection.

TABLE 3

Distribution of NAI scores and their corresponding percentile in a standard normal distribution

NAI score	% CDF, standard normal	% genome above NAI score	
		<i>S. cerevisiae</i>	CES simulation
0	50	92.14	85.95
1.282	90	74.59	61.57
1.645	95	67.92	55.54
2.326	99	54.63	45.67
2.576	99.5	50.42	42.45
3.090	99.9	43.27	37.38
3.291	99.95	39.84	35.43
3.719	99.99	33.79	32.30

the initiation cost, the elongation cost, and the non-sense error rate, a_1 , a_2 , and b , respectively.

Changes in NAI with codon position: One specific prediction about CUB driven by the cost of nonsense errors is that the strength of selection and, therefore, the degree of adaptation should increase with codon position.

To test this prediction, we calculated the NAI value for each successive, nonoverlapping window of 20 codons for each gene and then fit the first-order regression model in Equation 12. We then fit the weighted second-order regression model in Equations 13 and 14 to the maximum-likelihood estimates of the A_i and B_i parameters in Equation 12. The overall behavior of how NAI changes with codon position and log protein production rate $\ln(\phi)$ is summarized by the curves of the hierarchical model. Specifically, the parameters given in Table 4 and plotted in Figure 4 describe how the intercept A_i and slope B_i of the NAI *vs.* position regression model in Equation 12 change with $\ln(\phi)$. In general, all hierarchical model parameters differed significantly from zero. We also find that the initial NAI value A_i and the rate at which NAI increases with codon position B_i both increase in an accelerating manner with $\ln(\phi)$.

Quantitatively, looking at the estimates of \mathcal{A}_0 in Table 4 we see that the *S. cerevisiae* S288c genome shows greater adaptation or higher NAI values at the start of low expression genes than the genome simulated using CES. However, inspection of \mathcal{A}_1 and \mathcal{A}_2 values indicates that for both the observed and the simulated sequences, the NAI values at the beginning of a gene increase with $\ln(\phi)$ in a similar manner. Given the fact that the 288c alleles tend to have greater than expected NAI values, it is then perhaps not surprising that the rate at which NAI increases with position is lower in the S288c sequences when compared to the simulated sequences. This is illustrated by the fact that \mathcal{B}_0 , \mathcal{B}_1 , and \mathcal{B}_2 are lower in the observed sequences than in the genome simulated using CES. Although upon first glance the magnitude of the regression slopes for the NAI *vs.* position B_i may appear slight, these values are substantial as the slope

increases in units of standard deviation and the alleles generally encode hundreds of codons. Figure 5 allows us to see these differences in behavior between the S288c and simulated and data sets for six genes from across a wide range of protein production rates.

Stepping back, we note that qualitatively the distributions of A_i and B_i values around the hierarchical regression curves are quite noisy in both observed and simulated data sets (Figure 4). This results from the fact that while the selective forces against nonsense errors are consistent and increase with the protein production rate of a gene, mutation and drift clearly play important roles in the evolution of CUB.

To test our rather strong assumption that only completely translated proteins have any functionality, we repeated the hierarchical analyses where the final 10 or 20 amino acids were excluded from our NAI. This is roughly equivalent to assuming a (0, 1) functionality threshold at $n-10$ or $n-20$. The results of these analyses

TABLE 4

Hierarchical regression analysis results

	MLE	SE	t	P -value
S288c genome: parameters for regression intercept A				
\mathcal{A}_0	2.102	0.029	71.97	$<2 \times 10^{-16}$
\mathcal{A}_1	0.793	0.016	50.12	$<2 \times 10^{-16}$
\mathcal{A}_2	0.084	2.3×10^{-3}	36.68	$<2 \times 10^{-16}$
S288c genome: parameters for regression slope B				
\mathcal{B}_0	2.72×10^{-2}	1.635×10^{-3}	16.63	$<2 \times 10^{-16}$
\mathcal{B}_1	8.74×10^{-3}	7.92×10^{-4}	11.03	$<2 \times 10^{-16}$
\mathcal{B}_2	7.94×10^{-4}	9.6×10^{-5}	8.27	$<2 \times 10^{-16}$
CES simulated genome: parameters for regression intercept A				
\mathcal{A}_0	1.021	0.025	40.48	$<2 \times 10^{-16}$
\mathcal{A}_1	0.447	0.0145	30.89	$<2 \times 10^{-16}$
\mathcal{A}_2	0.047	2.05×10^{-3}	22.94	$<2 \times 10^{-16}$
CES simulated genome: parameters for regression slope B				
\mathcal{B}_0	0.135	1.82×10^{-3}	74.5	$<2 \times 10^{-16}$
\mathcal{B}_1	4.48×10^{-2}	8.97×10^{-4}	49.96	$<2 \times 10^{-16}$
\mathcal{B}_2	3.74×10^{-3}	1.08×10^{-4}	34.66	$<2 \times 10^{-16}$

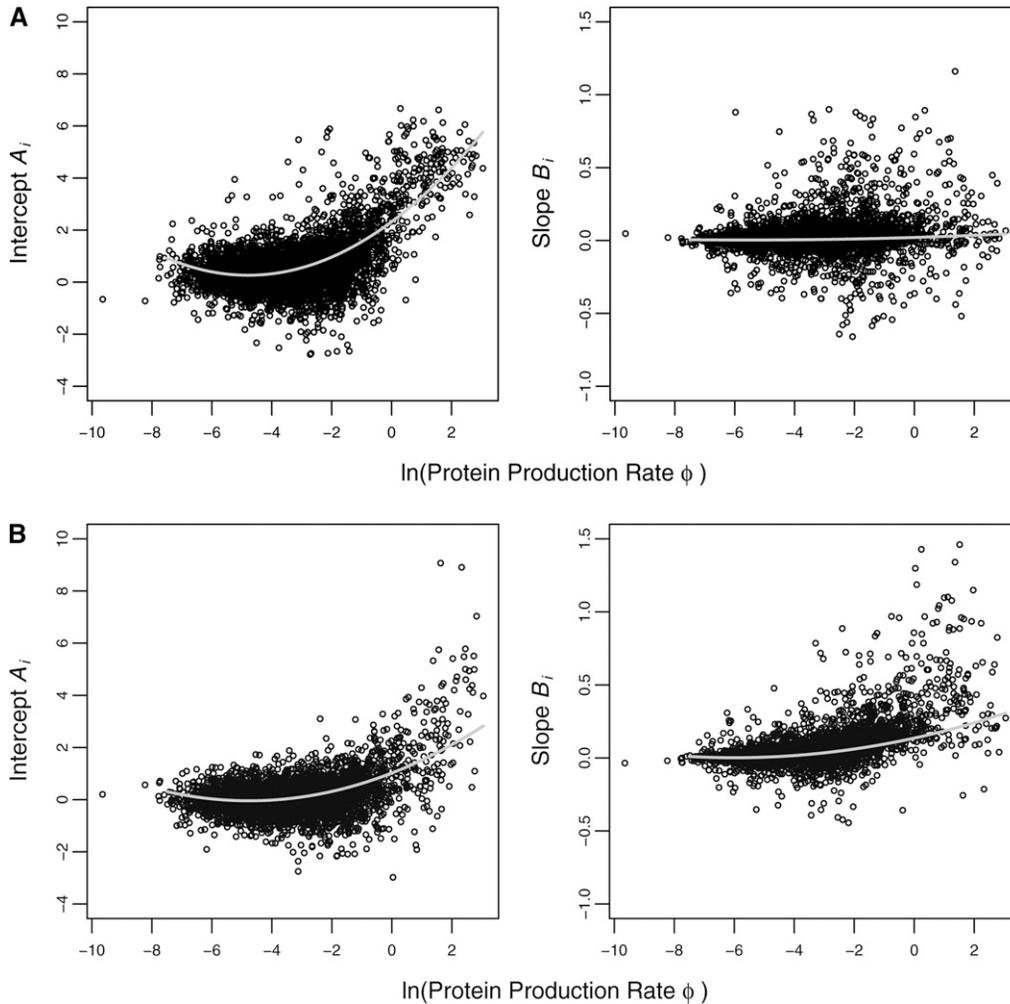


FIGURE 4.—Hierarchical analysis results. Each data point represents the maximum-likelihood estimates of the regression parameters of NAI *vs.* position (Equation 12) for each individual gene. Curves represent the weighted second-order hierarchical regression curves of \mathcal{A} and \mathcal{B} given in Equations 13 and 14. Weighting is based on the standard error of each data point.

showed no statistically significant change in the parameter estimates of our hierarchical model (Table S2).

Given the complexity of our NAI calculations, it is possible that the observed changes in NAI values with codon position and log protein production rate, $\ln(\phi)$, in the *S. cerevisiae* genome are actually an artifact of selection for translational accuracy or translational efficiency irrespective of nonsense errors. If this were the actual case, then we would expect to see no difference between how NAI changes with position in the observed and reordered genomes. Instead we find that 66% of the genes in the *S. cerevisiae* genome have slope parameters $B_i > 0$, a frequency that is significantly greater than what was observed in each set of partially reordered, completely reordered, and randomly assembled genomes that are all distributed around 0.5 (see Table 5). The difference in behavior between the observed and the reordered genomes can also be observed in the hierarchical regression curves, a typical example of which is illustrated in Figure S2. Note that both partially and completely reordered genomes do show a very slight upward bias in their individual regression slopes B_i and this bias is unexpected, but increases with protein production rate. The cause of this

bias and its relationship to $\ln(\phi)$ is not understood at this point. For example, it may be caused by subtle compositional effects or the fact that the true distribution of η -values calculated over a window is not actually Gamma distributed as we assume. Whatever the cause, the effect is small when compared with the fraction of genes with positive regression slopes B_i and the magnitude of the parameters of our hierarchical model.

DISCUSSION

In this study we developed a method for quantifying the adaptation an allele displays to reduce the cost of nonsense errors during protein translation η relative to its set of alternative coding synonyms. The approach presented here is a generalization of the definition of η derived in GILCHRIST (2007) and provides analytic expressions for the mean and variance of η -values in a given synonymous genotype space. These values are used to contextualize the η -value for any given point in synonymous genotype space into a single NAI value. To our knowledge, our work is the first to provide a general means of surveying a biologically meaningful adaptive

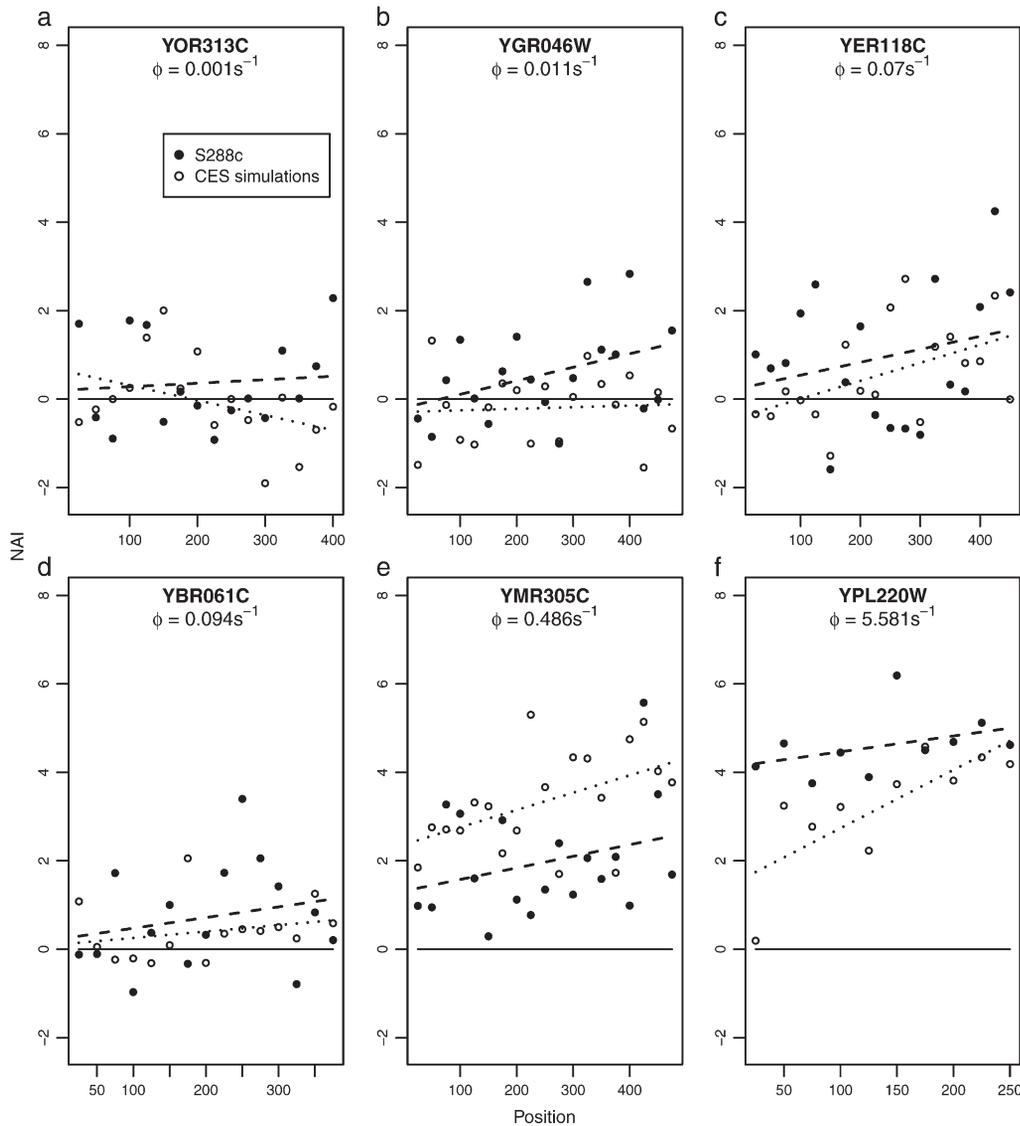


FIGURE 5.—Change in NAI with position and protein production rate, ϕ . Shown is how NAI changes with position for six genes chosen from a wide range of empirically estimated protein production rates, ϕ . Solid circles (●) represent NAI values for the S288c coding sequence of the gene while open circles (○) represent NAI values for a simulated coding sequence. The regression lines through the NAI values are given by --- for the S288c sequence and by ··· for the simulated sequence.

landscape and then use these results to contextualize adaptation at the molecular level.

NAI scores are based on a mechanistic model of protein translation and functionality. As a result, the nature of adaption of NAI measures is clearly defined. In addition, because of its mechanistic derivation, our approach is flexible enough to allow for future refinement or expansion of the NAI as our understanding of the processes involved in protein translation and other processes progresses. This flexibility is best illustrated in the seamless manner with which we are able to incorporate mutation bias into the calculation of the NAI. The fact that we can easily incorporate codon-specific nonsense error rates into our model by simply using codon-specific b values in Equation 1 also illustrates this point. Another example of this flexibility is in the cost of function $\beta(i)$ used in Equation 3.

In our current formulation $\beta(i)$ includes only the direct assembly cost of a protein. However, $\beta(i)$ could be expanded to include other costs as well. For example,

given our assumption about exponential waiting times during each elongation step, the combined assembly and ribosome overhead costs at a given codon i could be calculated as $\beta(i) = a_1 + a_2(i - 1) + k(a_3 + \sum_j^{i-1} 1/c(NNN_j))$, where k is a scaling constant representing the per second overhead cost of the ribosome cost in $\sim P$'s and a_3 is the expected time it takes for a ribosome to intercept an mRNA and initiate translation. Expanding our cost function $\beta(i)$ in this way highlights the potentially large effect nonsense errors can have on the overall translational efficiency of a ribosome, a point overlooked in most discussions of CUB.

In a similar manner, the utility function u_i could be defined by a continuous, sigmoidal function rather than the step function we use here. Doing this would allow calculations of η to include the contribution of incomplete, but partially functional peptides. More generally, u_i could take on negative values, allowing it to describe any toxic or interference effects some short, incomplete peptide may have. A final example of how

TABLE 5
Frequency of individual regression slopes $B_i > 0$

	% mean frequency	SD	vs. S288c genome		vs. CES simulation	
			<i>t</i> -statistic	<i>P</i> -value	<i>t</i> -statistic	<i>P</i> -value
Random	49.9	0.0086	-604.630	$<2 \times 10^{-16}$	-1021.83	$<2 \times 10^{-16}$
Partially reordered	51.6	0.0083	-560.143	$<2 \times 10^{-16}$	-990.58	$<2 \times 10^{-16}$
Completely reordered	50.2	0.0087	-586.051	$<2 \times 10^{-16}$	-996.92	$<2 \times 10^{-16}$

Shown is the frequency of genes with positive regression slopes based on a sample of 1000 genomes for each category as well as a comparison of these populations to the frequency for the S288c genome (77%) and the CES simulation genome (66%).

our approach can be extended can be found by examining one of the strongest assumptions we make, the lack of interactions between ribosomes on the same mRNA. Because the presence of a ribosome at one position can interfere with the behavior of another ribosome upstream from it, this assumption is clearly violated. Relaxing this assumption would make the computation of NAI substantially more challenging (*e.g.*, see CHOU 2003; BASU and CHOWDHURY 2007). While we believe that our current approach is a reasonable first approximation, other research suggests relaxing this assumption might be useful. For example, BULMER (1991) showed this type of interribosomal interference can lead to additional selection for increased translational efficiency at the start of a coding sequence. This idea of interribosomal interference is consistent with our own observation of greater observed NAI values at the start of a sequence than expected on the basis of our simulation.

It is important to recognize that one of the most common uses of CUB is to predict relative gene expression levels from the coding sequence of a gene. While the primary purpose of NAI is not to predict gene expression, the concepts underlying it can be used for that purpose (GILCHRIST 2007). Nevertheless, NAI values are well correlated with the most commonly used CUB indexes ($\rho = 0.793\text{--}0.822$, Figure S1).

Most CUB indexes are based on some sort of distance measure in synonymous genotype space. For example, consider SHARP and LI's (1987) CAI, which is probably the most commonly used measure of CUB. The CAI value of an allele is based on the geometric mean frequency of codons it uses relative to the usage within a subset of highly expressed genes. Conceptually, CAI is a multiplicative distance measurement of an observed allele from an allele whose CUB mirrors that of a subset of highly expressed genes. Another, more recent example can be found in ROYMONDAL *et al.*'s (2009) RCB index. RCB measures the CUB of an allele relative to its expected position on the basis of mutation and drift alone. Similarly, the CUB indexes $E(g)$ (KARLIN and MRAZEK 2000), F_{op} (IKEMURA 1981), and N_c (WRIGHT 1990) can be thought of as providing similar types of distance measures.

While the NAI is similar to these other indexes in that it is a measure of relative distance, the distance measurement is not in terms of positioning within an allele's synonymous genotype space, but is based on the relative altitude of an allele on an adaptive landscape. The fact that the NAI is based on the phenotypic adaptation of an allele rather than its position in genotype space makes it fundamentally different from these other measures. Unlike most other commonly used indexes, the NAI explicitly takes into account the effect of genomewide AT bias in shaping the codon usage of a gene. In addition, because the NAI is based on a *Z*-score, its easier to interpret and has desirable statistical properties that are absent in most CUB indexes.

Despite the fact that selection against codon usage bias is generally thought to be a rather weak selective force (STOLETZKI and EYRE-WALKER 2007; HERSHBERG and PETROV 2008), the NAI scores for the *S. cerevisiae* genome indicate that most (>92%) of its coding sequences are more adapted to reduce the cost of nonsense errors than expected. Indeed, >67% of the *S. cerevisiae* alleles are at a higher point on the adaptive landscape than 95% of their coding synonyms. Perhaps more striking is the finding that >33% of the *S. cerevisiae* alleles are found above the 99.99th percentile of the adaptive landscape. Thus, almost a third of all alleles can be found in the far upper reaches of the nonsense error adaptive landscape. In addition, we also observe that NAI values generally increase with codon position of a gene, a unique pattern expected to result only from selection against nonsense errors. Indeed, the increases we see are consistent with the increases observed in our simulations where nonsense errors are the sole selective force. Taken together, our results provide additional evidence that nonsense errors play an important role in CUB evolution of *S. cerevisiae*.

One shortcoming of our study is that we consider only one source of selection: nonsense errors. In reality, many other selective forces contribute to the evolution of CUB. Indeed, the emerging picture from the field clearly indicates that any synonymous change in the coding region of a gene is likely to be pleiotropic, possibly affecting mRNA folding, translational accuracy,

translational efficiency, protein folding, etc. This suggests that there are many places for these sources of selection to either conflict with or reinforce one another. Even though some of the adaptation we observe is likely due to these other forces, the fact that we do not consider these other forces when calculating an NAI value does not invalidate its meaning. NAI is simply a measure of adaptation to the cost of nonsense errors and does not depend on the forces ultimately responsible for that adaptation. While the tendency of NAI to increase with codon position can currently be explained only by selection against nonsense errors, the exact degree to which the overall adaptation of an allele can be directly attributed to this selective force is still open to debate. One way of resolving this debate would be to expand the approach developed here to include other potential selective forces. Indeed, we hope that the approach we develop here will serve as a starting point for generating other measures of adaptation. Only when we have a combination of such measures will researchers be able to evaluate the importance of the different selective forces driving the evolution of CUB.

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Supporting Information

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Measuring and Detecting Molecular Adaptation in Codon Usage Against Nonsense Errors During Protein Translation

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FILE S1

A Calculations over a Moving Window for NAI

Breaking $\eta(\vec{p})$ into Components We define a window where we are evaluating the NAI of a gene as going from x to y , inclusive. We break the η function into three parts, one part is before the window $(1, x - 1)$, the second part is within the window (x, y) , the third part is after the window, $(y + 1, n)$.

$$\begin{aligned} \eta(\vec{p}) &= \frac{\sum_{i=1}^n \beta_i \sigma_{i-1} (1 - p_i) + \beta_{n+1} \sigma_n}{\sigma_n} \\ &= \sum_{i=1}^{x-1} \beta_i \left(\frac{1 - p_i}{p_i} \right) \left(\prod_{k=i+1}^{x-1} \frac{1}{p_k} \times \prod_{k=x}^y \frac{1}{p_k} \times \prod_{k=y+1}^n \frac{1}{p_k} \right) \end{aligned} \quad (1)$$

$$+ \sum_{i=x}^y \beta_i \left(\frac{1 - p_i}{p_i} \right) \left(\prod_{k=i+1}^y \frac{1}{p_k} \times \prod_{k=y+1}^n \frac{1}{p_k} \right) + \sum_{i=y+1}^n \beta_i \left(\frac{1 - p_i}{p_i} \right) \prod_{k=i+1}^n \frac{1}{p_k} + \beta_n$$

$$= \sum_{i=1}^{x-1} \beta_i \left(\frac{1 - p_i}{p_i} \right) \left(\sigma_{x-1, i} \times \prod_{k=x}^y \frac{1}{p_k} \times \sigma_{n, y} \right) \quad (2)$$

$$+ \sum_{i=x}^y \beta_i \left(\frac{1 - p_i}{p_i} \right) \left(\prod_{k=i+1}^y \frac{1}{p_k} \times \sigma_{n, y} \right) + \sum_{i=y+1}^n \beta_i \left(\frac{1 - p_i}{p_i} \right) \sigma_{n, i} + \beta_n \quad (3)$$

Calculating $\bar{\eta}$ and $\text{Var}(\eta)$

Mean η : Given the amino acid sequence of a gene and assuming that the choice of codon at each position is independent, the expected cost-benefit ratio of a sequence which is allowed to vary over a window from $i = x$ to y is given by,

$$E(\eta_{x, y}) = \sum_{i=1}^{x-1} \beta_i \left(\frac{1 - p_i}{p_i} \right) \left(\sigma_{x-1, i} \times \prod_{k=x}^y \mathbb{E} \left[\frac{1}{p_k} \right] \times \sigma_{n, y} \right) \quad (4)$$

$$+ \sum_{i=x}^y \beta_i \mathbb{E} \left[\frac{1 - p_i}{p_i} \right] \left(\prod_{k=i+1}^y \mathbb{E} \left[\frac{1}{p_k} \right] \times \sigma_{n, y} \right) + \sum_{i=y+1}^n \beta_i \left(\frac{1 - p_i}{p_i} \right) \sigma_{n, i} + \beta_n \quad (5)$$

where, for notational convenience, we define

$$\sigma_{i,j} = \frac{\sigma_j}{\sigma_i} = \begin{cases} \prod_{k=i+1}^j p_k & i < j \\ \prod_{k=j+1}^i \frac{1}{p_k} & i > j \end{cases}. \quad (6)$$

Unlike calculating η for an entire gene, here the expectations are conditional only on the possible set of p_i values in $\vec{p}(\vec{c})$ from $i = x$ to y . As before, our expectations for p_i are taken over p values for a given set of synonymous codons.

Var ($\eta_{x,y}$): Beginning with Equation (1) gives,

$$\begin{aligned} \text{Var}(\eta_{x,y}) &= \sum_{i=1}^{x-1} \text{Var} \left(\beta_i \left(\frac{1-p_i}{p_i} \right) \left(\prod_{k=i+1}^{x-1} \frac{1}{p_k} \times \prod_{k=x}^y \frac{1}{p_k} \times \prod_{k=y+1}^n \frac{1}{p_k} \right) \right) \quad (7) \\ &\quad + \sum_{i=x}^y \text{Var} \left(\beta_i \left(\frac{1-p_i}{p_i} \right) \left(\prod_{k=i+1}^y \frac{1}{p_k} \times \prod_{k=y+1}^n \frac{1}{p_k} \right) \right) + \sum_{i=y+1}^n \text{Var} \left(\beta_i \left(\frac{1-p_i}{p_i} \right) \prod_{k=i+1}^n \frac{1}{p_k} \right) \\ &= \sum_{i=1}^{x-1} \left(\beta_i \left(\frac{1-p_i}{p_i} \right) \left(\prod_{k=i+1}^{x-1} \frac{1}{p_k} \times \prod_{k=y+1}^n \frac{1}{p_k} \right) \right)^2 \text{Var} \left(\prod_{k=x}^y \frac{1}{p_k} \right) \quad (8) \\ &\quad + \sum_{i=x}^y \left(\beta_i \prod_{k=y+1}^n \frac{1}{p_k} \right)^2 \text{Var} \left(\left(\frac{1-p_i}{p_i} \right) \prod_{k=i+1}^y \frac{1}{p_k} \right) \end{aligned}$$

For notational simplicity in writing the variance and covariance terms, we begin by defining

$$X = \prod_{k=x}^y \left(\frac{1}{p_k} \right) \quad (9)$$

$$Y_i = \left(\frac{1-p_i}{p_i} \right) \prod_{k=i+1}^y \left(\frac{1}{p_k} \right) \quad (10)$$

Again, given our assumption of independence of p values at different positions, it follows that

$$E(X) = \prod_{k=x}^y \mathbb{E} \left[\frac{1}{p_k} \right] \quad (11)$$

$$E(Y_i) = \mathbb{E} \left[\left(\frac{1-p_i}{p_i} \right) \right] \prod_{k=i+1}^y \mathbb{E} \left[\frac{1}{p_k} \right] \quad (12)$$

and

$$\text{Var}(\eta_{x,y}) = \text{Var}\left(\left(\frac{\sigma_y}{\sigma_n} X \sum_{i=1}^{x-1} \beta_i \frac{(1-p_i)}{p_i} \sigma_{x-1,i} + \sigma_{n,y} \sum_{i=x}^y \beta_i Y_i\right)\right) \quad (13)$$

$$= (\sigma_{n,y})^2 \left(\text{Var}\left(X \sum_{i=1}^{x-1} \beta_i \frac{(1-p_i)}{p_i} \sigma_{x-1,i} + \sum_{i=x}^y \beta_i Y_i\right) \right) \quad (14)$$

$$= (\sigma_{n,y})^2 \left(\left(\sum_{i=1}^{x-1} \beta_i \frac{(1-p_i)}{p_i} \sigma_{x-1,i} \right)^2 \text{Var}(X) + \sum_{i=x}^y \beta_i^2 \text{Var}(Y_i) + 2 \sum_{i=x}^y \beta_i \sum_{j=i+1}^y \beta_j \text{Cov}(Y_i, Y_j) \right. \\ \left. + 2 \left(\sum_{i=1}^{x-1} \beta_i \frac{(1-p_i)}{p_i} \sigma_{x-1,i} \right) \sum_{j=x}^y \beta_j \text{Cov}(X, Y_j) \right) \quad (15)$$

In the above argument, the variance terms follow a similar form to the full calculation.

$$\text{Var}(X) = \prod_{j=x}^y \mathbb{E} \left[\left(\frac{1}{p_j} \right)^2 \right] - \prod_{j=x}^y \left[\mathbb{E} \left(\frac{1}{p_j} \right) \right]^2 \quad (16)$$

$$\text{Var}(Y_i) = \mathbb{E} \left[\left(\frac{1-p_i}{p_i} \right)^2 \right] \prod_{j=i+1}^y \mathbb{E} \left[\left(\frac{1}{p_j} \right)^2 \right] - \left(\mathbb{E} \left[\frac{1-p_i}{p_i} \right] \prod_{j=i+1}^y \mathbb{E} \left[\frac{1}{p_j} \right] \right)^2 \quad (17)$$

Turning to the calculation of the two covariance terms in equation (15) we first note that, in general,

$$\text{Cov}(Y_i, Y_j) = \mathbb{E}[(Y_i - \mathbb{E}[Y_i])(Y_j - \mathbb{E}[Y_j])] \quad (18)$$

$$= \mathbb{E}[Y_i Y_j] - \mathbb{E}[Y_i] \mathbb{E}[Y_j] \quad (19)$$

$$\text{Cov}(X, Y_j) = \mathbb{E}[X Y_j] - \mathbb{E}[X] \mathbb{E}[Y_j] \quad (20)$$

When $i < j \leq y$, it follows that

$$\mathbb{E}[Y_i Y_j] = \mathbb{E} \left[\frac{1-p_i}{p_i} \right] \mathbb{E} \left[\frac{1-p_j}{p_j} \right] \prod_{k=i+1}^{j-1} \mathbb{E} \left[\frac{1}{p_k} \right] \prod_{k=j+1}^y \mathbb{E} \left[\left(\frac{1}{p_k} \right)^2 \right]. \quad (21)$$

Finally, we note that,

$$\mathbb{E}[X Y_j] = \mathbb{E} \left[\frac{1-p_j}{p_j} \right] \prod_{k=x}^{j-1} \mathbb{E} \left[\frac{1}{p_k} \right] \prod_{k=j+1}^y \mathbb{E} \left[\left(\frac{1}{p_k} \right)^2 \right]. \quad (22)$$

B Model Selection for Distribution of η across Synonymous Genotype Space

The fact that the size of the synonymous genotype spaces \mathbb{S} for the average gene is on the order of $3^{400} = 7.4 \times 10^{190}$ makes it impossible to survey the η values across such a space completely. Instead we assume that the distribution of η across \mathbb{S} can be approximated by a continuous distribution such as the Gamma, Weibull, Normal, and Log-Normal distribution. We evaluated the fit of η values to each of these distributions based on how well they fit a random sampling of 1000 alleles from \mathbb{S} for each of 2000 randomly selected genes in the *S. cerevisiae* genome using the Akaike Information Criteria (AIC).

On a per gene basis, the gamma distribution gave the lowest AIC values in 53.75% of the genes. The Normal, Log-Normal and Weibull distributions had the lowest AIC values in 36.1%, 10.15% and 0% of the genes, respectively. In terms of the combined dataset of 2000 genes, the gamma distribution had the AIC lowest score and the AIC differences ΔAIC_i values for the other distributions were 3886, 8078, and 375,226 for the Normal, Log-Normal, and Weibull distributions, respectively.

C Skewness Reducing Transformation of η Distribution

The specific parameters used in the transformation are based on the shape and scale parameters of the gamma distribution describing the distribution of η values across the synonymous genotype space, i.e. α and β , respectively. Based on this transformation (PACE AND SALVAN, 1997), for a given allele the transformed η_{obs} value and central moments of η for its synonyms are,

$$\eta'_{\text{obs}} = 3 \left((\eta_{\text{obs}} - \eta_{\text{min}})^{\frac{1}{3}} - 1 \right) \quad (23)$$

$$\bar{\eta}' = 3 \left(\beta^{-\frac{1}{3}} \frac{\Gamma(\alpha + \frac{1}{3})}{\Gamma(\alpha)} - 1 \right) \quad (24)$$

$$\text{Var}(\eta') = \frac{9}{\Gamma(\alpha)} \beta^{-\frac{2}{3}} \left(\Gamma\left(\alpha + \frac{2}{3}\right) - \frac{\Gamma(\alpha + \frac{1}{3})^2}{\Gamma(\alpha)} \right) \quad (25)$$

where the $'$ is used to distinguish the transformed from the untransformed terms.

D Allele Substitution Model in CES

Our simulations follow the ideas developed in GILCHRIST (2007) where each locus has its own average protein production rate ϕ . In this model, the marginal fitness effect of allele i at that locus is $w(\overrightarrow{NNN}_i) = w_i \propto \exp(-\phi q \eta_i)$, where q is a scaling term that relates energy expenditure and fitness. Note that, unlike ϕ , q

does not vary between genes. This fitness function is consistent with the idea that any change in $\sim P/\text{sec}$ that an organism must expend to meet its target protein production rate ϕ caused by a change in η will lead to a very small, but fixed proportional change in fitness. This ensures that the strength of selection for reducing energetic costs is consistent across all genes. We assume that new alleles of a gene are generated through a step wise mutation process where μ represents the per nucleotide mutation rate. The probability a new potentially invading allele j will replace the resident allele i is based on the relative fitness of the two alleles and the organism's effective population size N_e . The exact substitution probabilities are calculated using the formulation presented in SELLA AND HIRSH (2005), i.e.

$$\pi(i \rightarrow j) = \frac{1 - \left(\frac{w_i}{w_j}\right)^2}{1 - \left(\frac{w_i}{w_j}\right)^{2N_e}} = \frac{1 - \exp[-2\phi q(\eta_i - \eta_j)]}{1 - \exp[-2N_e\phi q(\eta_i - \eta_j)]}. \quad (26)$$

E Robustness of NAI to Parameter Uncertainty

In order to estimate the sensitivity of NAI scores to changes in parameter estimates, we calculated the sensitivity coefficient, Ψ (HAMBY, 1994) for each parameter. For instance, sensitivity coefficient, Ψ for b is defined as

$$\Psi = \frac{d\text{NAI}}{db} \frac{b}{\text{NAI}}. \quad (27)$$

In general, we find that the calculation of NAI scores is remarkably robust to uncertainty in the values underlying its calculation such as the background nonsense error rate b ($\Psi=0.003$), the cost of ribosome initiation a_1 ($\Psi=0.001$), and the cost of peptide elongation a_2 ($\Psi=0.009$) (Supporting Figure S3). To understand NAI's robustness, we return to our calculations of an allele's cost-benefit ratio η . We begin by noting that while the probability of a nonsense error occurring somewhere along a transcript may be substantial, the actual probability per codon or unit time is quite small, on the order of $1 \times 10^{-4}/\text{codon}$ or $1 \times 10^{-3}/\text{sec}$. If one performs a first order Taylor series expansion for η as defined in Equations (1)-(5) we get.

$$\eta(\vec{p}) = (a_1 + a_2n) + b \sum_{i=1}^n \frac{a_1 + a_2(i-1)}{c_i} + O[b^2]. \quad (28)$$

Based on this result we can calculate estimates of the first two moments of η as,

$$\bar{\eta} \approx (a_1 + a_2 n) + b \sum_{i=1}^n (a_1 + a_2(i-1)) \mathbb{E} \left(\frac{1}{c_i} \right) \quad (29)$$

$$\text{Var}(\eta) \approx b^2 \sum_{i=1}^n (a_1 + a_2(i-1))^2 \text{Var}(Y_i) \quad (30)$$

$$= b^2 \sum_{i=1}^n (a_1 + a_2(i-1))^2 \left(\mathbb{E}[Y_i^2] - \mathbb{E}[Y_i]^2 \right). \quad (31)$$

$$(32)$$

This approximation shows it is possible to factor out the background nonsense error rate b from all three terms used to calculate NAI: η_{obs} , $\bar{\eta}$ and $\sqrt{\text{Var}(\eta)}$. Even after our Box-Cox transformations, the b we have factored out will cancel, thus explaining why NAI is relatively insensitive to changes in b so long as $b \ll c_i$ for all codons. A similar result can be obtained with the elongation cost parameter a_2 . Conceptually, increasing either term is similar to simply rescaling the η values for the synonymous set of alleles. Since NAI measures the adaptation of an allele relative to its coding synonyms, rescaling the η values across this space will have no effect on an allele's relative position. We can explain NAI's insensitivity to changes in a_1 by noting that the average gene has ~ 400 amino acids and so long as a_1 is not orders of magnitude greater than a_2 , then $a_2(i-1)$ will be greater than a_1 for most codon positions within an allele. Thus, changing a_1 also has little impact on the NAI value of an allele as well.

NAI values were also found to be robust to small changes in the estimates of elongation rates of codon. These sensitivity coefficients ranged in value from $\Psi = -9 \times 10^{-4}$ to $\Psi = 0.235$ with their average value being 0.003 (Supporting Table S3). In general, slowly translating codons were more sensitive to changes in their elongation rates than codons with high elongation rates.

FILE S2**Computer Code**

NAI ZIP archive with source code for program to calculate NAI of a genome as well as example datasets and README file. Released under the GNU Public License Version 2. Updated versions at www.tiem.utk.edu/~mikeg/SupplementaryMaterials/NAI/Code/RunNAI. See the README file for more information.

CES ZIP archive with source code for program for running codon evolution simulations (CES) as well as example datasets and README file. Released under the GNU Public License Version 2. Updated versions at www.tiem.utk.edu/~mikeg/SupplementaryMaterials/NAI/Code/CES. See the README file for more information.

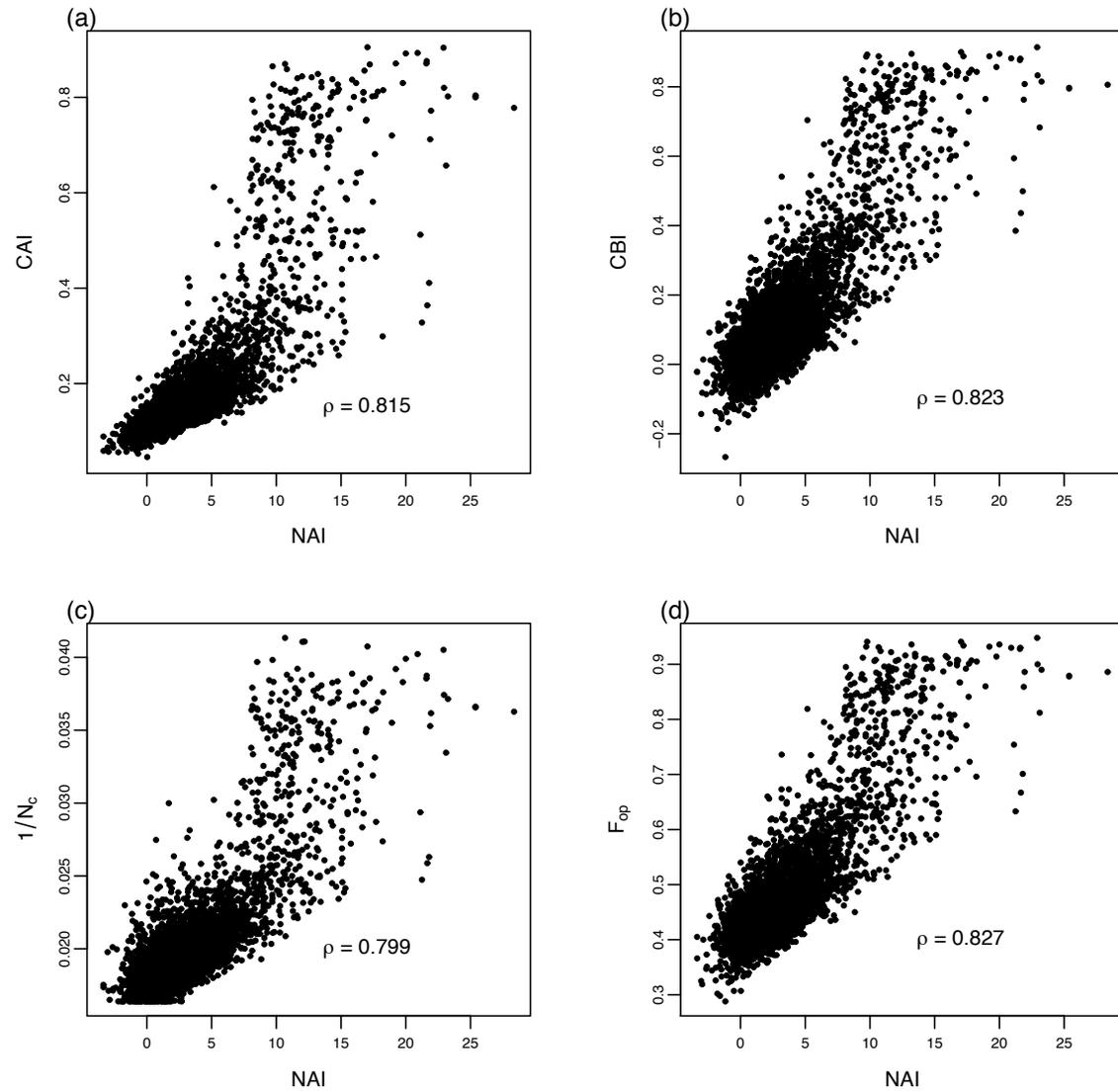


FIGURE S1.—Correlation of NAI values with (a) the Codon Adaptation Index (CAI), (b) the Codon Bias Index (CBI), (c) the effective number of codons N_c , and (d) the frequency of optimal codons F_{op} . The correlation coefficient between NAI and each index is given by ρ .

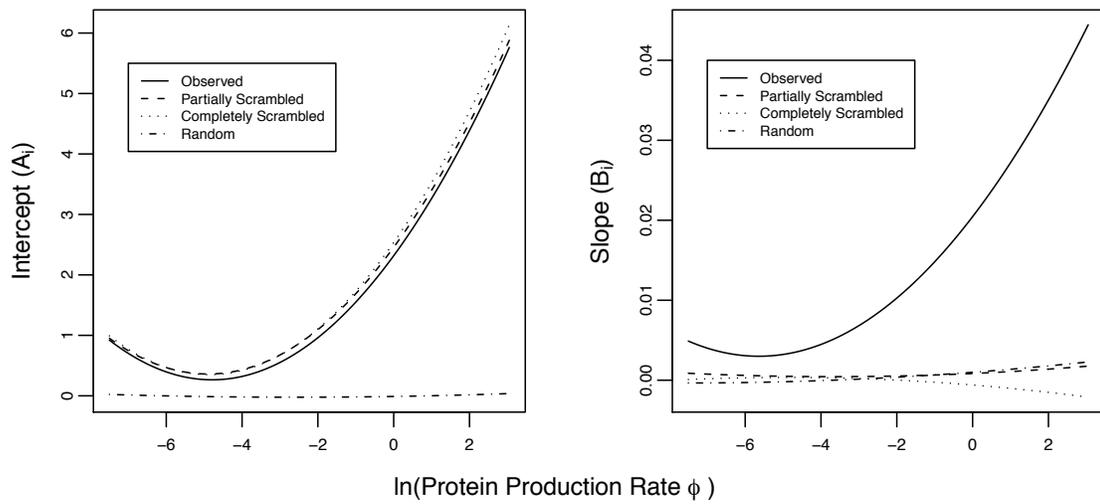


FIGURE S2.—Regression lines of hierarchical analysis of NAI values of the *S. cerevisiae* S288c genome and exemplar partially reordered, completely reordered, and randomly assembled genomes. We again note that both partially and completely reordered genomes do show an unexpected, but slight upward bias in their individual regression slopes B_i and this bias increases with protein production rate, the source of which is not understood at this point.

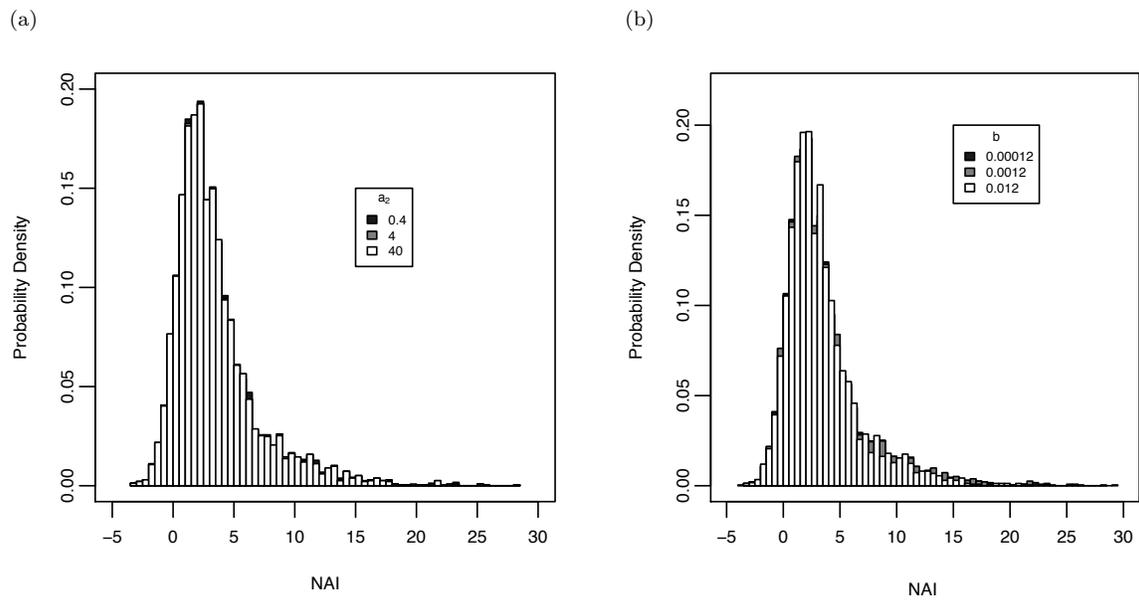


FIGURE S3.—Comparison of NAI values across a range of (a) elongation costs a_2 and (b) nonsense error rate b .

TABLE S1

Amino acid, codons recognized, and scaled intra-cellular tRNA concentrations, within *S. cerevisiae* as measured by Ikemura (1985) or estimated from gene copy number, as indicated by a*, after Percudani *et al.* (1997)

Amino Acid	tRNA Anti-Codon	Codon	Translation Rate	Amino Acid	tRNA Anti-Codon	Codon	Translation Rate
ala	IGC	GCT	18.20	leu	UAA	TTA	10.90*
		GCC	11.70		CAA	TTG	19.20
	UGC	GCA	7.82*		GAG	CTT	0.96*
		GCG	7.82*		CTC	1.56*	
arg	ICG	CGT	4.21	UAG	CTA	9.00	
		CGC	2.70	CTG	9.00		
		CGA	2.70	lys	UUU	AAA	6.70
	CCG	1.56*	CUU	AAG	15.10		
	UCU	17.20	met	CAU	ATG	7.82*	
	CCU	1.72	phe	GAA	TTT	8.90	
asn	GUU	AAT	9.56*	TTC	14.60		
		AAC	15.60*	pro	IGG	CCT	3.13*
asp	GUC	GAT	15.50	CCC	2.01*		
		GAC	25.30	UGG	CCA	15.60*	
cys	GCA	TGT	4.56	CCG	15.60*		
		TGC	7.47	ser ₁	GCU	AGT	3.82*
gln	UUG	CAA	14.10*	AGC	6.26*		
	CUG	CAG	1.56*	ser ₂	IGA	TCT	22.40
	UUC	GAA	18.40	TCC	14.40		
	CUC	GAG	3.13*	UGA	TCA	7.28	
gly	GCC	GGT	16.50	CGA	TCG	1.56*	
		GGC	27.00	thr	IGU	ACT	17.40
	UCC	GGA	4.69*	ACC	11.20		
	CCC	GGG	3.13*	UGU	ACA	6.26*	
his	GUG	CAT	8.43	CGU	ACG	1.56*	
		CAC	13.80	trp	CCA	TGG	11.70
ile	IAU	ATT	20.30*	tyr	GUA	TAT	10.40*
		ATC	13.00*	TAC	17.00		
	UAU	ATA	3.13*	val	IAC	GTT	19.30
				GTC	12.40		
				UAC	GTA	3.13	
				CAC	GTG	2.87	

The translation rates of codons using the G-U wobble were reduced by 39% compared to their G-C wobble counter-parts (THOMAS *et al.*, 1988, CURRAN and YARUS, 1989}. Similarly, the translation rates of codons using the I-G wobble were reduced by 36% relative to their I-U counterparts (CURRAN and YARUS, 1989). The entire set of translation rates were scaled so that their average value is 10 codons/sec.

TABLE S2**Hierarchical Regression Analysis Results****S288c Genome**Parameters for regression intercept A

	MLE	SE	t	p value
\mathcal{A}_0	2.102	0.029	71.97	$< 2 \times 10^{-16}$
\mathcal{A}_1	0.793	0.016	50.12	$< 2 \times 10^{-16}$
\mathcal{A}_2	0.084	2.3×10^{-3}	36.68	$< 2 \times 10^{-16}$

Parameters for regression slope B

	MLE	SE	t	p value
\mathcal{B}_0	2.72×10^{-2}	1.635×10^{-3}	16.63	$< 2 \times 10^{-16}$
\mathcal{B}_1	8.74×10^{-3}	7.92×10^{-4}	11.03	$< 2 \times 10^{-16}$
\mathcal{B}_2	7.94×10^{-4}	9.6×10^{-5}	8.27	$< 2 \times 10^{-16}$

S288c Genome with Removing Last 10 aaParameters for regression intercept A

	MLE	SE	t	p value
\mathcal{A}_0	2.100	0.03	70.23	$< 2 \times 10^{-16}$
\mathcal{A}_1	0.796	0.0162	49.10	$< 2 \times 10^{-16}$
\mathcal{A}_2	0.085	2.34×10^{-3}	36.32	$< 2 \times 10^{-16}$

Parameters for regression slope B

	MLE	SE	t	p value
\mathcal{B}_0	2.71×10^{-2}	1.677×10^{-3}	16.16	$< 2 \times 10^{-16}$
\mathcal{B}_1	8.57×10^{-3}	8.11×10^{-4}	10.57	$< 2 \times 10^{-16}$
\mathcal{B}_2	7.68×10^{-4}	9.8×10^{-5}	7.83	6.04×10^{-15}

S288c Genome with Removing Last 20 aaParameters for regression intercept A

	MLE	SE	t	p value
\mathcal{A}_0	2.11	0.03	70.61	$< 2 \times 10^{-16}$
\mathcal{A}_1	0.799	0.0162	49.21	$< 2 \times 10^{-16}$
\mathcal{A}_2	0.085	2.34×10^{-3}	36.3	$< 2 \times 10^{-16}$

Parameters for regression slope B

	MLE	SE	t	p value
\mathcal{B}_0	2.62×10^{-2}	1.676×10^{-3}	15.706	$< 2 \times 10^{-16}$
\mathcal{B}_1	8.178×10^{-3}	8.088×10^{-4}	10.11	$< 2 \times 10^{-16}$
\mathcal{B}_2	7.23×10^{-4}	9.757×10^{-5}	7.41	1.53×10^{-13}

Note that all of the maximum likelihood estimates of the model parameters differ from one another by less than 2 standard errors (SE).

TABLE S3**Sensitivity Analysis of NAI to changes in per codon elongation rate**

Table S3: Sensitivity Analysis of NAI to changes in per codon elongation rate

AA	Codon	c_i	Mean Ψ	SD Ψ	Fraction Outliers	AA	Codon	c_i	Mean Ψ	SD Ψ	Fraction Outliers
A	GCA	7.82	0.0069	0.0709	0.0442	N	AAC	15.60	0.0195	0.0411	0.0369
A	GCC	11.70	0.0050	0.0581	0.0442	N	AAT	9.56	0.0159	0.0338	0.0369
A	GCG	7.82	0.0099	0.0425	0.0421	P	CCA	15.60	-0.0046	0.0255	0.0456
A	GCT	18.20	0.0079	0.0348	0.0421	P	CCC	2.01	-0.0039	0.0208	0.0456
C	TGC	7.47	-0.0251	0.0526	0.0479	P	CCG	15.60	0.0078	0.0415	0.0460
C	TGT	4.56	-0.0210	0.0431	0.0479	P	CCT	3.13	0.0061	0.0340	0.0460
D	GAC	25.30	0.0016	0.0286	0.0467	Q	CAA	14.10	-0.0392	0.2086	0.0430
D	GAT	15.50	0.0012	0.0234	0.0467	Q	CAG	1.56	-0.0371	0.1723	0.0430
E	GAA	18.40	-0.0037	0.0341	0.0506	R	AGA	17.20	0.0026	0.0489	0.0421
E	GAG	3.13	-0.0032	0.0279	0.0506	R	AGG	1.72	0.0019	0.0400	0.0421
F	TTC	14.60	0.0065	0.0559	0.0506	R	CGA	2.70	0.0045	0.0318	0.0442
F	TTT	8.90	0.0049	0.0458	0.0506	R	CGC	2.70	0.0036	0.0260	0.0442
G	GGA	4.69	-0.0081	0.0260	0.0460	R	CGG	1.56	-0.0009	0.1109	0.0499
G	GGC	27.00	-0.0067	0.0213	0.0460	R	CGT	4.21	-0.0020	0.0910	0.0499
G	GGG	3.13	0.0133	0.0424	0.0460	S	AGC	6.26	0.0008	0.0493	0.0499
G	GGT	16.50	0.0107	0.0347	0.0460	S	AGT	3.82	0.0004	0.0403	0.0499
H	CAC	13.80	0.0059	0.0323	0.0467	S	TCA	7.28	-0.0263	0.0610	0.0483
H	CAT	8.43	0.0046	0.0264	0.0467	S	TCC	14.40	-0.0220	0.0498	0.0483
I	ATA	3.13	-0.0310	0.1889	0.0467	S	TCG	1.56	-0.0796	0.2545	0.0517
I	ATC	13.00	-0.0309	0.1564	0.0467	S	TCT	22.40	-0.0768	0.2095	0.0527
I	ATT	20.30	0.0012	0.0352	0.0483	T	ACA	6.26	-0.0031	0.0567	0.0568
K	AAA	6.70	0.0008	0.0288	0.0483	T	ACC	11.20	-0.0029	0.0464	0.0568
K	AAG	15.10	-0.0017	0.0577	0.0483	T	ACG	1.56	0.2352	0.4403	0.0511
L	CTA	9.00	-0.0018	0.0472	0.0483	T	ACT	17.40	0.1807	0.3908	0.0515
L	CTC	1.56	-0.0284	0.1120	0.0435	V	GTA	3.13	-0.0139	0.0710	0.0467
L	CTG	9.00	-0.0250	0.0919	0.0435	V	GTC	12.40	-0.0117	0.0579	0.0467
L	CTT	0.956	-0.0029	0.0214	0.0398	V	GTG	2.87	0.0187	0.0393	0.0387
L	TTA	10.90	-0.0024	0.0174	0.0398	V	GTT	19.30	0.0151	0.0321	0.0387
L	TTG	19.20	-0.0304	0.1086	0.0515	W	TGG	11.70	-0.0001	0.0001	0.0334
M	ATG	7.82	-0.0277	0.0894	0.0515	Y	TAC	17.00	-0.0001	0.0001	0.0334
						Y	TAT	10.40	0.0003	0.0454	0.0401

The mean, standard deviation (SD), and fraction of outliers of the sensitivity coefficients Ψ for each codon. Ψ values were individually calculated for each of the 4674 verified genes in the *S. cerevisiae* genome. For each codon, outliers were identified by Grubb's test at a stringent p -value of 0.0025 and removed before calculation of the mean and SD of its Ψ values. These outliers are primarily genes with very low NAI score, which lead to an over-inflated Ψ . For instance, a change in NAI score from 0.001 to 0.005 would lead to a corresponding change in percentile of ~ 0.0016 but a Ψ value of 4.

TABLE S4**eta.var.window.tsv**

A list of $\text{var}(\eta)$ values for the entire sequence and non-overlapping windows of 20 codons. This file is available for download at <http://www.genetics.org/cgi/content/full/genetics.109.108209/DC1>.

TABLE S5**eta.min.window.tsv**

A list of η_{\min} values for the entire sequence and non-overlapping windows of 20 codons. This file is available for download at <http://www.genetics.org/cgi/content/full/genetics.109.108209/DC1>.

TABLE S6**nai.window.tsv**

A list of NAI values for the entire sequence and non-overlapping windows of 20 codons. This file is available for download at <http://www.genetics.org/cgi/content/full/genetics.109.108209/DC1>.

TABLE S7**eta.mean.window.tsv**

A list of mean η values for the entire sequence and non-overlapping windows of 20 codons. This file is available for download at <http://www.genetics.org/cgi/content/full/genetics.109.108209/DC1>.